

**November 17, 2022**

**BSE Limited  
Department of Corporate Services  
Floor 25, P. J. Towers,  
Dalal Street,  
Mumbai-400 001.**

**National Stock Exchange of India Limited  
Listing Department  
Registered Office: "Exchange Plaza",  
C-1, Block G, Bandra Kurla Complex,  
Bandra (E), Mumbai – 400 051.**

**Scrip Code: 532051**

**Scrip Code: SWELECTES**

Dear Sir / Madam,

Sub: Compliance Certificate under Regulations 3(5) and 3(6) of SEBI (Prohibition of Insider Trading) Regulations, 2015.

We enclose herewith the Compliance Certificate pertaining to Structured Digital Database (SDD) maintained by the Company pursuant to Regulations 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations), for the quarter ended 30.09.2022.

We request you to kindly take on record the above compliance.

Thanking you,

Yours faithfully,

**For SWELECT ENERGY SYSTEMS LIMITED**

**R. SATHISHKUMAR  
Company Secretary**



**Encl.: as above**

**COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED SEPTEMBER 30, 2022  
(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading)  
Regulations,2015)**

I, R. Sathishkumar, Compliance Officer of SWELECT Energy Systems Limited and I am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that

1. the Company has a Structured Digital Database in place.
2. control exists as to who can access the SDD
3. all the UPSI disseminated in the previous quarter have been captured in the Database
4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture 2 number of events during the quarter ended and has captured 2 number of the said required events.

**For SWELECT Energy Systems Limited**

**R.Sathishkumar  
Compliance Officer**

Date: 17.11.2022

Place: Chennai

